

11/05/01
1059 U.S. PTO

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12-10-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 109140-0005U

First Inventor Rick Castanho et al.

Title SYSTEM AND METHOD FOR SERVICE SPECIFIC NOTIFICATION

Express Mail Label No. EL705608724US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 4]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ /

Prior application information: Examiner _____

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name	Edwin H. Paul				
	PATENT TRADEMARK OFFICE				
Address	Cesari and McKenna				
	88 Black Falcon Avenue				
City	Boston	State	MA	Zip Code	02210
Country	U. S. A.	Telephone	(617) 951-2500	Fax	(617) 951-3927

Name (Print/Type)	Edwin H. Paul	Registration No. (Attorney/Agent)	31,405
Signature	Edwin H. Paul	Date	November 5, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)
Rick Castanho et al.)
Serial No.: Not yet assigned) Examiner: Not yet assigned
Filed: Herewith) Art Unit: Not yet assigned
For: SYSTEM AND METHOD FOR)
SERVICE SPECIFIC NOTIFICA-)
TION)
Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
November 5, 2001

EXPRESS-MAIL DEPOSIT

“Express Mail” Mailing-Label Number: EL705608724US

The following papers are being deposited with the United States Postal Service
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

X Patent Application (25 pages including 26 claims)
X Power of Attorney X Oath or Declaration
X Fee Transmittal Letter X Utility Patent Application Transmittal
Letter
X Assignment With Recordation Form X Informal Drawing (2 sheets)
Cover Sheet
X Check for \$424.00 X Check for \$40.00
X Return Receipt Postcard

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Rick Castanho et al.
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	109140-0005U

TOTAL AMOUNT OF PAYMENT (\$) 464

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 03-1237
Deposit Account Name: Cesar and McKenna, LLP

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740 201	370	Utility filing fee	370
106 330 206	165	Design filing fee	
107 510 207	255	Plant filing fee	
108 740 208	370	Reissue filing fee	
114 160 214	80	Provisional filing fee	
SUBTOTAL (1)			370

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
26	2		6	9	54
			0	42	0
					0

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18 203	9	Claims in excess of 20	
102 84 202	42	Independent claims in excess of 3	
104 280 204	140	Multiple dependent claim, if not paid	
109 84 209	42	**Reissue independent claims over original patent	
110 18 210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			54

**or number previously paid, if greater; For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205	65	Fee Surcharge - late filing fee or oath	
127 50 227	25	Surcharge - late provisional filing fee or cover sheet	
139 130 139	130	Non-English Specification	
147 2,520 147	2,520	For filing a request for ex parte reexamination	
112 920 112	920*	Requesting publication of SIR prior to Examiner action	
113 1,840 113	1,840*	Requesting publication of SIR after Examiner action	
115 110 215	55	Extension for reply within first month	
116 400 216	200	Extension for reply within second month	
117 920 217	460	Extension for reply within third month	
118 1,440 218	720	Extension for reply within fourth month	
128 1,960 128	980	Extension for reply within fifth month	
119 320 219	160	Notice of Appeal	
120 320 220	160	Filing a brief in support of an appeal	
121 280 221	140	Request for oral hearing	
138 1,510 138	1,510	Petition to institute a public use proceeding	
140 110 240	55	Petition to revive - unavoidable	
141 1,280 241	640	Petition to revive - unintentional	
142 1,280 242	640	Utility Issue fee (or reissue)	
143 460 243	230	Design Issue fee	
144 620 244	310	Plant Issue fee	
122 130 122	130	Petitions to the Commissioner	
123 50 123	50	Processing fee under 37 CFR 1.17(q)	
126 180 126	180	Submission of Information Disclosure Stmt	
581 40 581	40	Recording each patent assignment per property (times number of properties)	40
146 740 246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149 740 249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179 740 279	370	Request for Continued Examination (RCE)	
169 900 169	900	Request for expedited examination of a design application	
Other fee (specify)			
SUBTOTAL (3)			40

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Edwin H. Paul	Registration No. (Attorney/Agent)	31,405	Telephone	(617) 951-2500
Signature	Edwin H. Paul	Date	November 5, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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